Electronic Structure and Chemical Bonding of a Highly Stable and Aromatic Auro–Aluminum Oxide Cluster

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ABSTRACT: We have produced an auro–aluminum oxide cluster, Au$_2$(AlO)$_2$ $^-$, as a possible model for an Au–alumina interface and investigated its electronic and structural properties using photoelectron spectroscopy and density functional theory. An extremely large energy gap (3.44 eV) is observed between the lowest unoccupied and the highest occupied molecular orbitals of Au$_2$(AlO)$_2$ $^-$, suggesting its high electronic stability. The global minima of both Au$_2$(AlO)$_2$ $^-$ and Au$_2$(AlO)$_2$ are found to have D$_{2h}$ symmetry with the two Au atoms bonded to the Al atoms of a nearly square-planar (AlO)$_2$ unit. Chemical bonding analyses reveal a strong σ bond between Au and Al, as well as a completely delocalized π bond over the (AlO)$_2$ unit, rendering aromatic character to the Au$_2$(AlO)$_2$ cluster. The high electronic stability and novel chemical bonding uncovered for Au$_2$(AlO)$_2$ suggest that it may be susceptible to chemical syntheses as a stable compound if appropriate ligands can be found.

1. INTRODUCTION

Since the discovery of the remarkable catalytic activity of supported gold nanoparticles for low-temperature CO oxidation, intensive studies have been carried out to elucidate the mechanisms of gold catalysts. Even though earlier studies have suggested quantum-size effects may play a key role in gold catalysts, increasing studies have shown that the cluster–oxide support interface is also critical. Size-selected gold clusters have been extensively studied to provide insight into the nature of the catalytic effects of nanogold. Recently, tertiary clusters of Au atoms with TiO$_2$ clusters have been produced and their reactivity with CO has been studied as possible models to probe the support effects on the reactivity of gold. Alumina is also an important support for gold catalysts. Aluminum oxide clusters have been investigated previously as models for oxide catalysts. More recent studies have included transition metal-doped aluminum oxides and their chemical reactivities. But there have been no reports on Au-doped aluminum oxide clusters.

In the current work, we report the observation of a gold aluminum oxide tertiary cluster, Au$_2$(AlO)$_2$ $^-$, as a possible model for alumina-supported gold. It is characterized by using photoelectron spectroscopy (PES) in an effort to understand the structure and bonding between Au atoms and aluminum oxide. Global minimum searches have led to a D$_{2h}$ structure for both Au$_2$(AlO)$_2$ $^-$ and its neutral Au$_2$(AlO)$_2$, with little structural change between the neutral and the anion. Both contain a robust square-planar (AlO)$_2$ unit with the two Au atoms bonded to the Al sites. An extremely large energy gap (3.44 eV) is observed in the PE spectra of Au$_2$(AlO)$_2$ $^-$, suggesting that neutral Au$_2$(AlO)$_2$ is an unusually electronically stable molecule. Chemical bonding analyses reveal that the Au atoms form strong covalent bonds with the Al atoms with a calculated bond energy of about 3.3 eV. In addition to the strong Al–O bonds forming the robust (AlO)$_2$ square-planar unit, we also find a completely delocalized π bond. The unusually high stability of Au$_2$(AlO)$_2$ suggests that it may be viable to be synthesized as a stable compound if appropriate ligands are found for the gold atoms.

2. EXPERIMENTAL AND COMPUTATIONAL METHODS

2.1. Photoelectron Spectroscopy. The experiment was carried out with use of a magnetic-bottle PES apparatus equipped with a laser vaporization supersonic cluster source, details of which can be found elsewhere. Briefly, cluster anions were produced by laser-vaporization of a disk target made of Al and Au (1:4 ratio by mass) in the presence of a helium carrier gas seeded with 5% Ar. The cluster/carrier gas mixture then underwent a supersonic expansion and passed through a skimmer to form a collimated cluster beam into an ion extraction chamber, where a high-voltage pulse extracted the negatively charged clusters into a time-of-flight mass spectrometer. It was observed that residual oxygen contamination on the Al/Au target surface was significant, and its elimination was particularly prominent and was selected for the current investigation. It was mass-selected before being photodetached by a laser beam. Four detachment photon energies from either a Nd:YAG laser (532, 355, and 266 nm) or an ArF excimer laser (193 nm) were used in the current work. The variable...
photon energies were important to allow well-resolved spectra to be obtained at low photon energies and to allow high binding energy features to be observed at high photon energies. Photoelectrons were collected at nearly 100% efficiency in a magnetic bottle and analyzed in a 3.5 m long electron flight tube. The PE spectra were calibrated by using the known spectra of Au\textsuperscript{+}, Pb\textsuperscript{+}, and Bi\textsuperscript{+}. The resolution of the apparatus, \( \Delta E/E_0 \), was \( \sim 2.5\% \), i.e., \( \sim 25 \) meV for 1 eV electrons.

2.2. Computational Methods. The search for the global minimum structures of \( \text{Au}_2(\text{AlO})_2^- \) and \( \text{Al}_2(\text{O}_2^-) \) were performed by using the simulated annealing algorithm\(^47\)–\(^50\) coupled with density functional theory (DFT) for geometry optimization. The \( \text{Al}_2(\text{O}_2^-) \) species was studied to compare with the auride species. In the DFT calculations, we used the generalized gradient approximation in the Perdew–Burke–Ernzerhof (PBE) functional form\(^51\) with the Los Alamos ECP plus DZ (LANL2DZ)\(^52\) basis set. The low-lying isomers of \( \text{Au}_2(\text{AlO})_2^- \) were reoptimized by using PBE0 and the PW91 functionals\(^53\) with the Stuttgart\(^97\) and the CRENBL basis sets for gold and aug-cc-pCVTZ basis set for aluminum and oxygen. In the case of the \( \text{Al}_2(\text{O}_2^-) \) species, the low-lying isomers were reoptimized by using PBE0 and the PW91 functionals with the aug-cc-pCVTZ basis set for aluminum and oxygen. The optimized structures of the anions were used as the starting geometries in the optimization of the neutrals. Harmonic vibrational frequencies were computed to ensure that the low-energy isomers were true minima.

Vertical detachment energies (VDEs) of \( \text{Au}_2(\text{AlO})_2^- \) were calculated by using the time-dependent DFT (TD-DFT) method\(^58\). The first VDE was computed as the energy difference between the neutral and the anion at the anion geometry. The excitation energies of deeper orbitals at the anion geometry were then added to the first VDE to give approximate VDEs of the excited states. Each VDE was fitted with a 0.05 eV width Gaussian to yield the simulated PE spectrum. All the calculations were done with NWChem\(^59\).

Additionally, spin–orbit interactions for the gold atom were considered at the PW91 level of theory and the CRENBL basis set with SO potentials\(^55\) as implemented in NWChem.

To understand the chemical bonding in the closed-shell \( \text{Au}_2(\text{AlO})_2^- \), we carried out electron localization analyses using the adaptive natural density partitioning (AdNDP) method\(^6\) on the PW91/LANL2DZ results obtained from Gaussian 09\(^64\). The AdNDP analysis is based on the concept of electron pairs as the main elements of the chemical bonds. It represents the molecular electronic structure in terms of \( n \)-center two-electron (\( n \)-c-2e) bonds, recovering the familiar lone pairs (1c-2e) and localized 2c-2e bonds or delocalized nc-2e bonds (3 \( \leq n \leq \) total number of atoms in the system). The Gaussview program\(^62\) is used for molecular structure and AdNDP bond visualizations.

Nucleus Independent Chemical Shift (NICS) calculations\(^63\)–\(^65\) were carried out in Gaussian 09 at the PW91 level of theory, using the LANL2DZ basis set at the PW91/Al,O/Aug-cc-pCVTZ/Au/Stuttgart\(^97\) optimized geometries. NICS values\(^64\) were taken as the negative of the isotropic shielding calculated at the center of the \( \text{Al}_2(\text{O}_2^-) \) unit in each molecule.

To assess the Au–Al bond strength in \( \text{Au}_2(\text{AlO})_2^- \), we calculated the fragmentation energy (\( \Delta E \)) according to

\[
\Delta E[\text{Au}_2(\text{AlO})_2^-] = E[\text{Al}_2(\text{O}_2^-)] + E(\text{Au}) - E[\text{Au}_2(\text{AlO})_2^-]
\]

Similar to the calculations done for the \( \text{Au}_2(\text{AlO})_2^- \) species, the energy of the optimized \( \text{Au}_2(\text{AlO})_2^- \) fragment was obtained by using PBE0 and the PW91 functionals with the Stuttgart\(^97\) and the CRENBL basis sets for gold and aug-cc-pVTZ basis set for aluminum and oxygen.

3. EXPERIMENTAL RESULTS

The PE spectra of \( \text{Au}_2(\text{AlO})_2^- \) at four different photon energies are displayed in Figure 1. In each spectrum, the X band represents the transition from the anionic ground state to that of the neutral. The A, B, ... bands denote transitions to the excited states of the neutral species. The weak broad PES features labeled with lower case letters (a–d) represent either indirect photodetachment transitions or possible contributions from isomers, as discussed below.

![Figure 1. Photoelectron spectra of \( \text{Au}_2(\text{AlO})_2^- \) at four different photon energies: (a) 532 nm (2.331 eV), (b) 355 nm (3.496 eV), (c) 266 nm (4.661 eV), and (d) 193 nm (6.424 eV).](image-url)
The 532-nm spectrum (Figure 1a) displays one sharp feature X with a VDE of 1.34 ± 0.03 eV. Because no vibrational structures were resolved, the adiabatic detachment energy (ADE) of this band was estimated by drawing a straight line along the rising edge band X and then adding the instrumental resolution to its intersection with the binding energy axis. The ADE so determined is 1.27 ± 0.03 eV, which also represents the electron affinity (EA) of neutral Au$_2$(AlO)$_2$. The sharp onset and narrow width of feature X suggest little geometry change between the anion ground state and that of the neutral. The PE spectrum at 193 nm (Figure 1d) shows a very large energy gap between bands X and A, filled with broad and weak signals (a–d). Band A at a VDE of 4.78 ± 0.05 eV is quite sharp and intense, followed by an even more intense and sharp peak B at a VDE of 4.90 ± 0.05 eV. Two sharp and weaker peaks C and D are observed at VDEs of 5.21 and 5.44 eV, respectively. Beyond peak D, the 193 nm spectrum becomes very congested and it is difficult to identify individual detachment bands. A number of peaks (E to H) are labeled for the sake of discussion. The VDEs of all the major detachment bands are given in Table 1, where they are compared with the theoretical results.

The large separation of 3.44 eV between bands X and A suggests that neutral Au$_2$(AlO)$_2$ is closed shell and the X–A gap can be viewed approximately as the energy gap between its lowest unoccupied (LUMO) and highest occupied (HOMO) molecular orbitals. The 3.44 eV HOMO–LUMO gap, which is also borne out of the theoretical calculations, is extremely large. Surprisingly, numerous weak and broad features (a–d) are observed in the X and A band gap region. Features a (1.57 eV) and b (∼2.1 eV) exhibit strong photon-energy dependence, in particular, feature a, which does not exist at 532 nm (Figure 1a) and becomes very strong at 355 nm (Figure 1b). Feature b also displays dependence on the photon energy, being the strongest at 266 nm (Figure 1c). These photon-energy dependences bear the signature of autodetachment, in which an anion excited state is produced upon resonant absorption of a detachment photon. Strong autodetachment has been observed previously in anion PES for clusters with very large HOMO–LUMO gaps, such as C$_{60}$, Cr$_{2}$, and Au$_{20}$. Features c (∼3.4 eV) and d (∼4.1 eV) do not show too strong photon energy dependence, and they are likely due to contributions from a low-lying isomer (vide infra).

### 4. Theoretical Results and Comparison with Experiment

#### 4.1. The Optimized Structures of Au$_2$(AlO)$_2$ and Au$_3$(AlO)$_2$

The simple Al$_2$O$_3$ cluster has been calculated previously by various levels of theory and found to be a closed-shell species ($1\text{A}_2$) with $D_{2h}$ symmetry. High-level CCSD(T) calculations predicted an Al–O bond length of 1.766 Å and an O–Al–O bond angle of 93.6°. The reliability of the present computational methods was validated by performing calculations on the anion and neutral species of Al$_2$O$_3$. As shown in Figure 2a,b, our PW91 results for the Al–O bond lengths, 1.769 and 1.745 Å, and the O–Al–O bond angle, 91.9° and 93.1°, for Al$_2$O$_3$ and Al$_2$O$_2$, respectively, are in good agreement with the previous results.

The global minimum of Au$_2$(AlO)$_2$ was searched extensively at the PBE0 and PW91 levels of theory. Only four structures were found within 50 kcal/mol of the global minimum, as shown in Figure S1 in the Supporting Information. The most stable structure of Au$_2$(AlO)$_2$ (Figure 2c) is found to be $D_{2d}$ with a nearly square-planar (AlO)$_2$ unit, where the two Au atoms are bonded to the two Al atoms, respectively. Since both PBE0 and PW91 give similar results (Figure S1, Supporting Information), we will use the PW91 results in our discussion. The nearest low-lying isomer is 11 kcal/mol higher in energy, in which a gold dimer is bonded to a (AlO)$_2$ unit on one side with an Au–Au bond (isomer I in Figure S1, Supporting Information). Both isomers II and III are more than 30 kcal/mol higher in energy (Figure S1, Supporting Information).

**Table 1. Observed Vertical Detachment Energies (VDE) for Au$_2$(AlO)$_2$ in Comparison with the Calculated VDEs for the Global Minimum $D_{2d}$ Structure**

<table>
<thead>
<tr>
<th>obsd features</th>
<th>expl VDE (eV)*</th>
<th>final state and electronic configuration</th>
<th>theoretical VDE (eV)6</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td>1.34(3)</td>
<td>$^{1}\text{A}_2-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>1.39</td>
</tr>
<tr>
<td>A</td>
<td>4.78(5)</td>
<td>$^{3}\text{B}_{3u}-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>4.50</td>
</tr>
<tr>
<td>B</td>
<td>4.90(5)</td>
<td>$^{3}\text{B}_{3u}-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>4.69</td>
</tr>
<tr>
<td>C</td>
<td>5.21(5)</td>
<td>$^{2}\text{B}_{1g}-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>5.27</td>
</tr>
<tr>
<td>D</td>
<td>5.44(5)</td>
<td>$^{2}\text{B}_{1g}-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>5.51</td>
</tr>
<tr>
<td>E</td>
<td>5.69(7)</td>
<td>$^{2}\text{B}_{1g}-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>5.61</td>
</tr>
<tr>
<td>F</td>
<td>5.88 (7)</td>
<td>$^{1}\text{B}_{2g}-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>5.87</td>
</tr>
<tr>
<td>G</td>
<td>6.03 (7)</td>
<td>$^{1}\text{B}_{2g}-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>6.02</td>
</tr>
<tr>
<td>H</td>
<td>6.15 (7)</td>
<td>$^{1}\text{B}_{2g}-b_2-b_3-b_4-b_5-b_6-b_7-a_1-a_2-a_3-a_4-a_5-a_6-a_7$</td>
<td>6.21</td>
</tr>
</tbody>
</table>

*Numbers in parentheses present experimental uncertainties in the last digit. Calculated at the PW91/Al,O/Aug-cc-pCVTZ/Au/Stuttgart’97 level of theory.
All the calculated VDEs from the global minimum of \( \text{Au}_2(\text{AlO})_2^- \) at the PW91 level are compared with the experimental data in Table 1. The valence molecular orbitals (MOs) for \( \text{Au}_2(\text{AlO})_2^- \) are displayed in Figure 3; the LUMO (\( \alpha_p \)) of the anion is 1.39 eV, in excellent agreement with the experimental value of 1.34 eV. The nature of the SOMO (corresponding to the LUMO of \( \text{Au}_2(\text{AlO})_2^- \)) is consistent with the slight reduction of the Au–Al bond length upon electron detachment and the relatively sharp ground state PES band. We computed the vibrational frequency of the totally symmetric mode involving Au–Al stretching to be 109 cm\(^{-1}\), which is beyond the resolution of our magnetic bottle PES analyzer. The ground state X band in the PE spectra should contain a short vibrational progression in the Au–Al stretching mode. The second detachment channel occurs from the HOMO (\( \beta_{2u} \)), leaving the final neutral species in the lowest triplet state (\( \beta_{2u} \)). The calculated VDE of this detachment channel is 4.50 eV, in good accord with band A at 4.78 eV. The computed X–A separation of 3.11 eV is very large, in good agreement with the observed value of 3.44 eV. The corresponding detachment from the HOMO into the singlet final state (\( \beta_{2u} \)) gives a calculated VDE of 5.27 eV, in good agreement with band C at 5.21 eV. The relative intensities of bands A and C are consistent with the spin multiplicities of the final states.

The third detachment channel from HOMO–1 (\( \alpha_g \)) to the triplet final state (\( \alpha_g \)) is calculated to be at 4.69 eV, consistent with band B at 4.90 eV. The corresponding detachment to the singlet final state (\( \alpha_g \)) is calculated to be at 5.61 eV, in good agreement with band E at 5.69 eV. The next detachment is from HOMO–2 (\( \beta_{2u} \)) with a calculated VDE of 5.51 eV, agreeing well with band D at 5.44 eV. Beyond 5.5 eV, the experimental PE spectrum becomes highly congested. This observation is in excellent agreement with the calculated VDEs for the higher binding energy detachment channels, which are mostly from the Au 5d manifold, as shown in Table 1 and Figure 3. Overall, the calculated VDEs for the \( D_2h \) structure for \( \text{Au}_2(\text{AlO})_2^- \) are in excellent agreement with the experimental data, unequivocally confirming it as the global minimum.

The simulated PE spectrum of the global minimum, as well as those from isomers I to III, is compared with the 193 nm spectrum in Figure S2 (Supporting Information). The excellent agreement between that of the global minimum and the experiment is obvious. Isomers II and III can be safely ruled out because they are significantly higher in energy. Their simulated spectra show no resemblance to the weak features observed. However, the first two bands of the simulated spectrum from isomer I are in the same energy range as the weak features c and d, which are tentatively attributed to contributions from isomer I. The higher binding energy detachment features from isomer I would be buried in the congested features of the global minimum.

5. DISCUSSION

The 3.44 eV HOMO–LUMO gap observed for \( \text{Au}_2(\text{AlO})_2^- \) sets a record, the largest of any clusters that we have ever observed. This very large energy gap is greater than that observed in highly stable and chemically inert systems like \( \text{C}_{60} \) (1.57 eV)\(^{58} \) or even \( \text{Au}_{20} \) (1.77 eV).\(^{68} \) The unprecedentedly large HOMO–LUMO gap implies that neutral \( \text{Au}_2(\text{AlO})_2 \) is an extremely stable and chemically inert system.
stable electronic system. We performed AdNDP analyses to gain insight into its stability and chemical bonding, as presented in Figure 4. We found 12 lone pairs, which belong to the 5d orbitals for the two Au atoms and two O 2s orbitals all with occupation numbers very close to 2. We found a 4c−2e π bond completely delocalized on \((\text{AlO})_2\) square-planar unit, as well as an antibonding π bond, which are localized on the two O atoms. These two bonds are basically the bonding and antibonding combinations of the O 2p\(z\) electrons (HOMO−18 and HOMO−19 in Figure 3). However, for Al a 3s electron needs to be promoted to the 3p level so it can have the 3p\(x\)1p\(y\)1 configuration to bond with the O atoms. A single electron in the 3s orbital of Al can then favorably bond to the Au atoms, forming two strong Al−Au bonds.

To assess the Al−Au bond strength, we calculated the fragmentation energy \(\Delta_1E\) and \(\Delta_2E\), according to eqs 1 and 2. We found that \(\Delta_1E\) is 3.2 eV and \(\Delta_2E\) is 3.4 eV, giving an average Al−Au bond strength of 3.3 eV in \(\text{Au}_2(\text{AlO})_2\). This bond energy is extremely large, compared to either the Al−Al bond strength (1.42 eV) or Au−Au bond strength (2.04 eV), and agrees with the dissociation energy of the AuAl bond measured experimentally to be 3.34 ± 0.07 eV. Hence, the \(\text{Au}_2(\text{AlO})_2\) cluster represents a very special and stable molecule with an aromatic (\(\text{AlO}\)) square-planar unit and two unusually strong Al−Au bonds. Its special stability is reflected in its unusually strong Al−Au bonds.
unprecedentedly large HOMO–LUMO gap. It is conceivable that it may be synthesized if a bulky neutral ligand can be found to coordinate the Au atoms and protect the (AlO)2 unit. Hence, Au2(AlO)2 represents a novel molecular species and it may serve as a simple model for Au atoms interacting with O-deficient defect sites on alumina surfaces.

6. CONCLUSIONS

We report the observation and characterization of an auro–aluminum oxide cluster, Au2(AlO)2, and its neutral. Photoelectron spectroscopy revealed a very large energy gap between the first and second detachment bands of Au2(AlO)2 and a relatively low electron affinity of 1.27(3) eV for Au2(AlO)2. Global minimum searches led to a D2h structure for both the anion and its neutral, in which the two gold atoms are bonded to the two Al atoms, respectively, in a square-planar (AlO)2 unit. The large energy gap (3.44 eV) observed experimentally, which was confirmed theoretically, represents an unprecedentedly large HOMO–LUMO gap for the corresponding neutral Au2(AlO)2 and suggests that it is an extremely stable electronic structure. AdNDP analyses showed that the Al–O and Al–Au bonds are all classical 2c–2e bonds, whereas there is a delocalized π bond over the (AlO)2 square-planar unit, rendering aromaticity to the Au2(AlO)2 cluster. The nearly square geometry of the (AlO)2 unit suggests that both Al and O may serve as a simple model for Au atoms interacting with O-terminated and second detachment bands of Au2(AlO)2. The nearly square geometry of the (AlO)2 unit suggests that both Al and O do not need hybridization to form chemical bonds in Au2(AlO)2. Hence the Al atom is left with a 3s electron to form a strong bond with Au, and an empty 3p orbital, which participates in the delocalized π bond. The Al–Au bond is found to be quite strong with an average calculated bond energy of 3.3 eV. The interesting chemical bonding and high stability of Au2(AlO)2 suggest that it may be a target for chemical syntheses with suitable ligation to the Au atoms.

ASSOCIATED CONTENT

Supporting Information
Low-lying structures of Au2(AlO)2, their energies, computed first vertical detachment energies, and comparison of their simulated photoelectron spectra with experiment. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes
The authors declare no competing financial interest.

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